* In this report we use the widely-recognized term “syringe exchange program” (SEP) as an abbreviated reference to the vast range of programmatic approaches to the provision of new and sterile syringes to injection drug users (IDUs). Some SEPs operate as an exchange, requiring participants to return used syringes and exchange them for new, sterile ones. Many other SEPs, however, function more as a “syringe distribution” program, providing participants with as many sterile syringes as requested, without condition. In addition, some SEPs are self-contained, freestanding programs, while in other instances the SEP function of a program may be secondary to its primary mission (e.g., a shelter for the homeless whose services include sterile syringe exchange/distribution). Throughout this report, we intend “SEP” to capture the entirety of this programmatic variation. Elsewhere this service carries the name needle/syringe program (NSP) or sterile syringe provision (SSP). Although these labels may signify more accurately the substantive function of SEPs, they enjoy more limited usage in the United States.
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EXECUTIVE SUMMARY

Syringe Exchange Programs (SEPs) are central to reducing disease and other health burdens among people who inject illicit drugs. Over two decades of research have demonstrated the effectiveness of SEPs in preventing HIV and other blood-borne infections, as well as connecting injection drug users (IDUs) with a range of vital medical and social services and supports. This document summarizes the consensus among SEP experts of the underlying principles and programmatic elements that enable or constrain SEP effectiveness. Effective SEPs have the support of local governing bodies and match sound operational characteristics with responsiveness to the unique features of their host communities. New or expanding SEPs may benefit from technical assistance from the considerable expertise of those experienced in operating SEPs around the country. The panel highlighted operational characteristics that are critical for effective SEPs, and measures to be avoided because they undermine the primary goal of SEP: to make new, sterile syringes available to IDUs.

### Characteristics of effective SEPs

- **Ensure low threshold access to services**
  - Maximize access by number of locations and available hours
  - Ensure anonymity of participants
  - Minimize the administrative burden of participation

- **Promote secondary syringe distribution**
  - Train and support peer educators
  - Do not impose limits on number of syringes

- **Maximize responsiveness to characteristics of the local IDU population**
  - Adapt planning activities and service modalities to subgroup needs

- **Provide or coordinate the provision of other health and social services**

- **Include diverse community stakeholders in creating a social and legal environment supportive of SEPs**

### SEP practices to avoid

- Supplying single-use syringes
- Limiting frequency of visits and number of syringes
- Requiring one-for-one exchange
- Imposing geographic limits
- Restricting syringe volume with unnecessary maximums
- Requiring identifying documents
- Requiring unnecessary data collection
I. INTRODUCTION (OR BACKGROUND)

Providing new, sterile syringes to persons who inject drugs illicitly is one of the most effective means for preventing HIV transmission in the community.\textsuperscript{1,2} Many scientific studies—including ecological studies, epidemiological analyses, and social-behavioral inquiries—demonstrate the effectiveness of syringe exchange programs (SEPs) for reducing HIV/AIDS incidence among injection drug users IDUs.\textsuperscript{3-10} Multiple factors, including SEPs’ operational characteristics and the unique features of their host communities, influence the effectiveness of a given SEP.\textsuperscript{11,12}

Anticipating the repeal of the ban on the use of federal funds for syringe exchange programming, the New York City Department of Health and Mental Hygiene (NYC DOHMH) convened a group of SEP experts for a day-long meeting to achieve consensus on the characteristics of SEPs that maximize their likelihood of reducing injection-related morbidity and mortality among IDUs. In this report, we summarize the underlying principles and programmatic elements that enable or constrain SEP effectiveness. Moreover, we translate these characteristics into “best practices” for organizations aspiring to fund, operate, or plan for some form of sterile syringe exchange.

In this report, we present best practice standards for SEP operation, SEP data collection and evaluation, and structural recommendations to improve SEP implementation. We conclude with suggestions for further policy and research approaches that will support and expand the evidence for syringe provision programming in the US.
II. INTEGRAL COMPONENTS OF SEP OPERATION

As a public health intervention, the SEP provides new, sterile syringes to IDUs for the primary purpose of preventing blood-borne disease (principally, HIV) transmission and acquisition among IDUs, and indirectly, among their sexual partners. Secondarily, SEPs can (and often do) serve as the central mechanism by which IDUs access health services, including medical care and treatment for substance use disorders. To maximize the effectiveness of SEPs, services should be planned, implemented, and evaluated as a community-level public health intervention, rather than an individual-level program.

Below we describe the components integral to SEP success. First we specify the basic principles that comprise the recommended approach to establishing and operating an SEP. These should be considered “guiding principles” for the planning and delivery of SEPs. Following, we set forth the operational premises for the actual implementation of an SEP. These are the evidence-based protocols, policies, and procedures that SEPs should follow when providing services.

A. Guiding Principles for Supporting SEPs

To succeed in reducing morbidity and mortality within a community, SEPs must enjoy at least minimal support from their communities’ respective governing bodies—the greater the governmental support, the greater the likelihood of SEP effectiveness. The following principles should guide stakeholders’ discussions, plans, and actions when supporting or preparing to support programs whose primary, secondary, or tertiary purpose entails the provision of new and sterile syringes to IDUs:

1. Prioritize meeting IDU needs for sterile syringes by promoting the most effective strategies for syringe provision (e.g., provide IDUs with as many syringes as they request at each transaction);
2. Maximize the number and variety of “access points” where IDUs can obtain new and sterile syringes free of charge (e.g., support the integration of syringe provision into all programs serving IDUs even where IDU service is not the principal activity);
3. Allow SEP participants to remain anonymous;
4. Ensure that IDUs can easily access sterile syringe provision;
5. Minimize the data collection burden on SEPs and IDUs.

B. Operational and Programmatic Elements Critical to SEP Success

The manner in which an SEP delivers its services is a central determinant in the success of those services. To succeed in reducing HIV/AIDS incidence in the community, SEPs should maximize the responsiveness of the service delivery environment to the particular life circumstances of participants and deliver services in a supportive, non-condemning and non-
punitive manner. More specifically, SEPs should abide by the following best practice standards in developing and delivering sterile syringe provision/service programming.

1. **Ensure “Low-Threshold” Service Access**
   Injection drug use is a highly stigmatized behavior which underpins multiple forms of discrimination and barriers to services. Historically, SEPs have predicated their work on the recognition that stigmatization of IDUs is, in itself, associated with poor health outcomes among IDUs. SEPs therefore must contend with the effects of stigma on IDUs (e.g., distrust of health care providers) by making services simple and easy to access. In the context of public health service, the term ‘low-threshold’ refers to the program’s expectations of the individual in order to qualify for services (i.e., the higher the threshold, the greater these expectations to qualify for services).

   A low-threshold approach to services includes:
   - Anonymous participation (no oral or written identification of name/identity required);
   - Confidentiality of involvement;
   - No requirement for participation in other services;
   - Hours and location(s) of service delivery responsive to IDU population characteristics.

2. **Promote and Encourage “Secondary Syringe Distribution”**
   Secondary (or satellite) distribution occurs when an SEP participant visits an SEP, obtains injection supplies (namely syringes), and then distributes some portion of these new and sterile syringes to other IDUs who do not or cannot visit the SEP themselves.

   In some jurisdictions where SEPs are already authorized, secondary syringe exchange (i.e., peer-to-peer transfer of new and sterile syringes) is discouraged or prohibited by law. This approach appears to reflect liability concerns on the part of jurisdictional authorities.

   However, discouraging secondary exchange greatly limits access to sterile syringes by IDUs who, for various reasons, do not access the SEP directly. Secondary syringe exchange can also be a conduit for peer education, and many programs have found that IDUs who carry out secondary exchange can provide safe injection information to those who are not accessing SEPs.

   Secondary syringe exchange and distribution should be actively **encouraged** among SEP participants, rather than simply **allowed**. To promote this activity, SEPs should abide by the following tenets of service delivery:
   - Provide training to primary SEP participants as peer educators, teaching appropriate syringe disposal and injection hygiene practices and advising on SEP access and availability, for dissemination to the broader IDU community;
   - Do not limit the number of syringes distributed to each individual;
   - Do not restrict the number of syringes distributed at any given visit based upon the number of syringes received (i.e., programs should provide syringes beyond a ‘one-for-one’ ratio).
3. Maximize SEP Service Responsiveness to Local IDU Population Characteristics

Numerous individual and social-environmental factors, affect the ability of IDUs to access and utilize SEP services. Planning activities and service implementation for SEPs should be responsive to the individual-level characteristics of local IDUs, and to the environment in which services will be delivered.

IDUs are not a homogeneous population, but rather tend to form subgroups around some of their distinguishing individual characteristics. In turn, these subgroups may benefit from specialized or customized services and/or existing services adapted to meet their particular needs (e.g., spoken for non-English speakers, etc.). The most effective SEPs have developed service modalities responsive to the particular subgroups of IDUs in the communities where they operate. The following distinguishing characteristics are not mutually exclusive, yet reflect particular subgroups for whom customized services should be considered:

<table>
<thead>
<tr>
<th>Demographic and Work Status</th>
<th>Drug Use Characteristics</th>
</tr>
</thead>
<tbody>
<tr>
<td>Youth</td>
<td>Type of drug used (e.g., heroin, pharmaceutical opioids, cocaine, amphetamine and other stimulants, benzodiazepines, etc.)</td>
</tr>
<tr>
<td>Homeless</td>
<td>Hormone/steroid users</td>
</tr>
<tr>
<td>Women with children</td>
<td>Recently initiated IDUs</td>
</tr>
<tr>
<td>Undocumented people</td>
<td></td>
</tr>
<tr>
<td>Sex workers, including exotic dancers (“strippers”)</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Cultural Factors</th>
<th>Gender and Sexuality</th>
</tr>
</thead>
<tbody>
<tr>
<td>Race/ethnicity</td>
<td>Transgender individuals</td>
</tr>
<tr>
<td>Non-English-speaking</td>
<td>Men who have sex with men</td>
</tr>
<tr>
<td>Native Americans</td>
<td>Women who have sex with women</td>
</tr>
</tbody>
</table>

4. Providing and/or Coordinating the Provision of Other Services

To succeed in engaging IDUs and directing them to medical, mental health and/or drug treatment, where possible SEPs should consider providing or coordinating the provision of the following other services:

- Food and clothing distribution;
- As many concrete services as feasible, including
  1. Ancillary medical (screening and vaccinations, as well as primary care),
  2. Social services (i.e., housing, legal aid, drug abuse treatment),
  3. Counseling (i.e., safer sex, overdose prevention).
5. Minimize Data Collection

Since the science on SEP effectiveness is well established, excessive data collection is wasteful and inefficient. SEPs’ collection of data from participants should be minimal and should not detract from their primary mission: the provision of sterile syringes to IDUs. Just as governing bodies should minimize the data collection burden on SEPs, the SEP should minimize its data collection to capture only essential information recording service delivery (see III. below).

Imposing extensive reporting requirements on SEPs will result in the expectation for considerable data collected from participants at SEPs. In turn, this expectation will create barriers to SEP participation among IDUs because the threshold for services is necessarily raised. SEP resources, and personnel time and effort, are diverted to activities not directly related to the delivery of SEPs, and IDU participation is reduced by these activities. In effect, collecting extensive reports from SEPs does not support or promote the prevention of injection-related morbidity and mortality among IDUs.

C. Counterproductive SEP practices

Over more than two decades of development and expansion in the US, SEPs have promoted and/or implemented a wide variety of practices. While some have reflected true program quality improvement intentions, others derived from an attempt to assuage certain stakeholders’ concerns. Unfortunately, a number of these practices undermined progress toward achieving SEPs’ central objective: reducing incidence of injection-related morbidity and mortality, including the reduction of HIV transmission.

The common denominator to all of these inadvisable practices is their restrictive effect on syringe access in their respective communities. The following table identifies particular program and service delivery protocols, procedures, and practices that undermine effective SEPs.

Program registration. The provision of “registration cards” at program enrollment should be considered a legal tool, not a data collection tool. “Registration cards” should only be used as a tool to assist SEP participants where state-level paraphernalia laws and/or local law enforcement practices may otherwise prevent or interfere with individual syringe possession. Moreover, not requiring “program registration” is an acceptable option and may significantly reduce barriers to first visits by IDUs who have never attended a SEP. For these reasons, the decision to provide “registration cards” should be a local/jurisdictional decision for SEPs, and should be accompanied by an explicit justification for this decision.
<table>
<thead>
<tr>
<th>Protocol, procedure, or practice</th>
<th>Rationale</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distributing single-use syringes only</td>
<td>In a single injection episode, many IDUs repeatedly plunge and adjust the volume of substance in the syringe more than once, which titrates the dose being injected and thus can be protective against overdose. Single-use syringes prevent this practice because a ‘locking’ mechanism activates and retracts the needle after its initial insertion.</td>
</tr>
<tr>
<td>Limiting the number of syringes per visit and/or per transaction</td>
<td>The frequency of SEP visits made by IDUs varies widely among IDUs, and for an individual IDU over time. Limiting the number of syringes distributed per IDU visit does not ensure an increased frequency of SEP visits per IDU, and may prevent the intended effect of the SEP, because IDUs will not possess sufficient numbers of sterile syringes to meet their injecting needs.</td>
</tr>
<tr>
<td>Enforcing a mandated exchange ratio, such as “one for one,” providing new syringes only to those who return used syringes</td>
<td>IDUs may experience any number of circumstances that result in syringe confiscation or disposal in a location other than the SEP. Refusing to provide any syringes without syringes returned will prevent IDU access to sterile syringes, and represents an unethical and punitive public health practice.</td>
</tr>
<tr>
<td>Restricting the number of transactions allowed daily, per unique IDU</td>
<td>More than one daily visit to the SEP may be necessary for particular IDUs, because their social, contextual, or other environmental circumstances prevent carriage of an adequate volume of sterile syringes to meet their ongoing injecting needs.</td>
</tr>
<tr>
<td>Requiring research and/or behavior surveillance data collection as a threshold for syringe access</td>
<td>Requiring IDUs to participate in research or behavior surveillance activities such as interviews/surveys (concerning, for example, their drug use or sexual activity) in order to obtain sterile syringes imposes an unnecessary burden on this group that may discourage utilization of SEP services. Any research data collection program involving IDUs at an SEP should be restricted to a limited sample of participants and captured for periodic SEP evaluation efforts only.</td>
</tr>
<tr>
<td>Limiting program access to individuals who live within specified boundaries</td>
<td>Unfortunately, SEPs often remain unavailable in the geographic area where IDUs reside. For those who are able and willing to travel to an SEP in the region, syringe access and availability should be encouraged. Syringe access for IDUs should not be limited by geographic boundaries.</td>
</tr>
<tr>
<td>Requiring presentation of identifying documents</td>
<td>The anonymity of SEPs ensures the broad reach of services. IDUs will be discouraged from SEP utilization if they believe that association will increase the likelihood they are identified as an illicit drug user by any authorities.</td>
</tr>
</tbody>
</table>
III. PROGRAM DATA COLLECTION AND EVALUATION

A. Variables for SEP Data Collection

The data collection burden on both SEPs and IDUs should be minimized to capture only essential information regarding the services provided/received and oriented strictly to SEP program evaluation. Moreover, data collection should never interfere with IDU participation or SEP operation. Below we enumerate and describe the types of data that SEPs should collect for the purpose of program evaluation.

1. Transaction-level. SEPs should collect only essential data concerning each interaction with participants. SEPs and/or their respective jurisdictions may elect to ask IDUs for additional, optional individual-level information at each SEP transaction. Such additional, optional individual-level data collection may occur either at periodic intervals or on a continuous basis, and should position the SEP to understand better its participant population and the manner in which they utilize services. The decision for whether and how to collect this information should be made locally and explicitly justified.

   i. Essential information at each SEP transaction
      o Number of syringes distributed
      o Number of syringes received

   ii. Optional individual-level information at each SEP transaction
      o Gender, age, race/ethnicity, current zip code/geographic area residing
      o Last visit to SEP
      o Number of people for whom IDU is obtaining syringes (i.e., numeric indicator regarding secondary syringe exchange)
      o Site/service location of transaction
      o Date, time

2. Program-level. SEPs usually provide a range of supplies, services, referrals, and even structured education and training, beyond the distribution of new and sterile syringes. Aggregate data capturing these activities can be compiled at the program level, and reported at regular intervals.

   o Number of new and sterile syringes distributed
   o Number of used/contaminated syringes received
   o Number of other supplies delivered (e.g., alcohol pads/wipes, condoms, etc.) (where relevant)
   o Characteristics of other services provided (e.g., vaccination, infectious disease testing, DOT, wound care, overdose prevention training and response, etc.) (where relevant)
o Number of referrals to drug treatment, medical care, mental health services, etc. (where relevant)

o Number of participants in peer education and training sessions promoting secondary SEP, including related needs such as injection hygiene, safe syringe disposal, etc.

B. Evaluating SEPs

SEP evaluation should be reasonable and rigorous in its approach, design, and methodology, and may be utilized to assess the effectiveness of SEPs at the local/jurisdictional level. Evaluation should focus on assessing the volume, adequacy, and public health impact of services.

Program evaluation should be periodic and involve randomly drawn samples of IDUs rather than requiring the continuous involvement of all IDUs who access SEP services. Evaluation should include survey administration and, where appropriate, testing for exposure to blood-borne infection. Surveys should be brief and targeted to capture information on injecting and other health risk behaviors, health problems, social-contextual characteristics, and other relevant information to guide program development and improvement.
IV. STRUCTURAL RECOMMENDATIONS

Numerous factors impact the availability, accessibility and sustainability of services by a given SEP. These include access to competent technical assistance and the influence of the political and legal environment in which a given SEP may operate.

A. Technical Assistance to Improve SEP Access and Availability

Jurisdictions without SEPs or with minimal experience supporting SEPs should be offered capacity-building technical assistance from expert providers, including experienced harm reduction technical assistance providers, existing and former leadership from SEPs, and expert SEP researchers. Particular areas requiring assistance may include:

- Understanding IDU practices and associated HIV and hepatitis transmission risks;
- Understanding and working with specific subgroups;
- Dispelling common myths about SEPs and IDUs among community and institutional stakeholders;
- Rapid assessment, focused on community stakeholders;
- Capacity-building for community mobilization, e.g., maximizing the role of CDC-supported HIV Prevention Community Planning Groups in guidance, recommendations, and dissemination;
- Evaluation support (e.g., epidemiologic methods, random sample surveys of IDUs);
- SEP operations planning, development, and implementation;
- Legal considerations (i.e., paraphernalia, pharmacy, and public health laws, including amendments and repeals to improve syringe access for IDU);
- Law enforcement education and training (including occupational health issues).

B. Reducing the Influence of State-level Paraphernalia Laws on SEPs

To support and promote the success of SEPs at the jurisdictional level, local authorities should work to improve the legal environment for IDU syringe possession. Ideally, state-level syringe paraphernalia laws would be repealed to prevent the need for distinctions between legal and illegal syringe possession. In some states, however, it may be easier to initiate legislative change by amending syringe possession laws to exempt IDUs from arrest and/or prosecution for the possession of syringes.

The overwhelming majority of US court cases considering SEP have affirmed its legality as a public health imperative for reducing the incidence of HIV/AIDS. These cases should be acknowledged and adapted as legal guidance for establishing and supporting SEPs in jurisdictions where state-level syringe paraphernalia laws remain. In addition, the state-level criminal code should be amended to allow the possession of used syringes, which may contain particulate residue from illicit drugs, for return to SEPs and other safe syringe disposal options.
Building support to enact these legislative changes may require the commitment and participation of a diverse group of community stakeholders, including public health and law enforcement officials, independent public health and legal experts (e.g., research/academic institutions, policy groups), 'grass-roots' community groups, and formal and informal community leadership. Capacity-building technical assistance and support should prioritize these organizing efforts.
V. ADDITIONAL RECOMMENDATIONS

The group articulated several further policy and research approaches to support and expand the evidence for SEP in the US.

A. Civil Society
1. CDC should establish a group of expert providers and researchers to serve as continued technical advisors on syringe exchange, for consultation to respond to new issues as they arise.

B. Policy
1. States should improve syringe access via pharmacy sales and physician prescribing to complement – but not replace – SEPs, recognizing the differential benefits of such access for diverse IDU populations.
2. CDC should assign the status of Evidence-Based Intervention (EBI) to SEP, given scientific evidence relating the provision of SEPs to population-level reductions in HIV infection among IDUs.

C. Research
1. NIH should convene a consensus panel to identify research gaps (e.g., effective program combinations, dynamics within programs, etc.).
2. The Institute of Medicine should conduct a study of SEP best practices.
VI. WORKS CITED


